

MA431 Lecture 5

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Outline

- ① Matrix-Tree Theorem
- ② Weighted Matrix-Tree Theorem
- ③ Laplacian of weighted graphs
- ④ Cycle and cut spaces
- ⑤ Fundamental cycles and fundamental cuts
- ⑥ Bases for and the dimension of the cycle and cut spaces
- ⑦ ∇ , grad, and ∇ grad linear operators

The Laplacian, the Matrix-Tree Theorem, and Consequences

Recap

- Let $G = (V, E)$ be an n -vertex graph.
- The **Laplacian matrix** of G is $L := \Delta(G) - A(G)$, where $\Delta(G) = \text{Diag}(\deg(u) : u \in V)$.
- Denote by $\lambda_1(G) \leq \dots \leq \lambda_n(G)$ the spectrum of L .
- Denote by $T(G)$ the number of spanning trees of G .

Proposition

- $L = \sum_{e=\{u,v\} \in E} (e_u - e_v)(e_u - e_v)^T$,
- for each $x \in \mathbb{R}^V$, $x^T L x = \sum_{e=\{u,v\} \in E} (x_u - x_v)^2 \geq 0$ } $\rightarrow L$ is PSD
- $L\mathbf{1} = \mathbf{0}$ and $\lambda_1(G) = 0$,
- $\text{rank}(L) = n - c$, where c is the number of connected components of G .

Recap

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Proposition

- $L = \sum_{e=\{u,v\} \in E} (e_u - e_v)(e_u - e_v)^\top$,
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- $\text{rank}(L) = n - c$, where c is the number of connected components of G .

Deletion-contraction formula

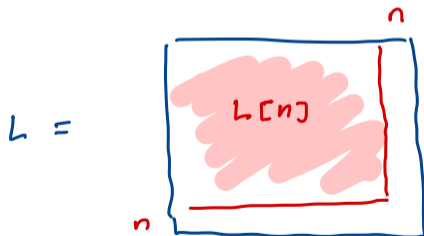
For each $e \in E$, $T(G) = T(G \setminus e) + T(G/e)$.

The Matrix-Tree Theorem

The Matrix-Tree Theorem

$T(G) = \det(L')$ where L' is any $(n-1) \times (n-1)$ principal submatrix of L .

- $L[i]$: the submatrix of L obtained after removing row i and column i .
- It suffices to prove that $\det(L[n]) = T(G)$.
- We proceed by induction.

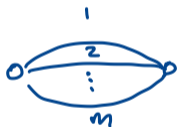


The Matrix-Tree Theorem

The Matrix-Tree Theorem

$T(G) = \det(L')$ where L' is any $(n - 1) \times (n - 1)$ principal submatrix of L .

Base case: $n = 2$. If G consists of m parallel edges, then $L = \begin{pmatrix} m & -m \\ -m & m \end{pmatrix}$, so the result follows.



$$T(G) = m$$

$$\det(L') = m$$

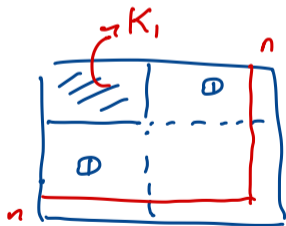
The Matrix-Tree Theorem

The Matrix-Tree Theorem

$T(G) = \det(L')$ where L' is any $(n-1) \times (n-1)$ principal submatrix of L .

Base case: G is not connected.

- $L[n]$ is singular, so $\det(L[n]) = 0$.
- Clearly, $T(G) = 0$.
- So $\det(L[n]) = T(G)$.



The Matrix-Tree Theorem

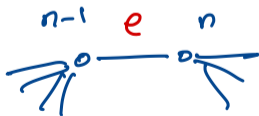
The Matrix-Tree Theorem

$T(G) = \det(L')$ where L' is any $(n-1) \times (n-1)$ principal submatrix of L .

Induction step: $n \geq 3$ and G connected.

- Pick $e \in E$ incident with $n \in V$, say $e = \{n-1, n\}$.
- We know

$$T(G) = T(G/e) + T(G \setminus e).$$



The Matrix-Tree Theorem

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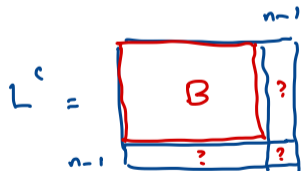
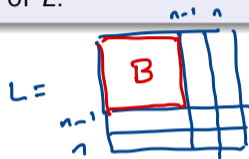
Induction step: $n \geq 3$ and G connected.

- Pick $e \in E$ incident with $n \in V$, say $e = \{n-1, n\}$.
- Denote by L^c the Laplacian matrix of G/e . By IH,

$$\begin{aligned}T(G/e) &= \det(L^c[n-1]) \\ &= \det(L[n][n-1])\end{aligned}$$



\rightsquigarrow
 G/e



The Matrix-Tree Theorem

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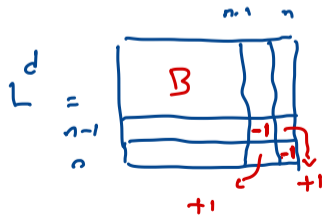
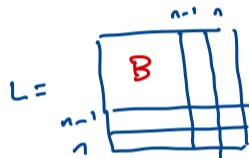
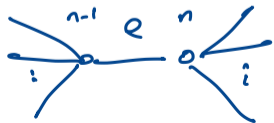
Induction step: $n \geq 3$ and G connected.

- Denote by L^d the Laplacian matrix of $G \setminus e$. By IH,

$$T(G \setminus e) = \det(L^d[n]) =$$

by Laplace expansion
along row $n-1$

$$= \det(L[n]) - \det(L[n][n-1])$$



The Matrix-Tree Theorem

The Matrix-Tree Theorem

$T(G) = \det(L')$ where L' is any $(n - 1) \times (n - 1)$ principal submatrix of L .

Induction step: $n \geq 3$ and G connected.

- In summary,

$$\det(L^c[n - 1]) = \det(L[n][n - 1])$$

$$\det(L^d[n]) = \det(L[n]) - \det(L[n][n - 1]).$$

- Thus,

$$T(G) = T(G/e) + T(G \setminus e) = \det(L^c[n - 1]) + \det(L^d[n]) = \det(L[n])$$

thereby completing the IS.

Consequences

Cayley's formula

The number of spanning trees of K_n is n^{n-2} .

complete n -vertex graph

Proof.

The Laplacian matrix is $L := nI_n - J_n$, so $L[n] = nI_{n-1} - J_{n-1}$ whose spectrum is

$$(n-0)^{(n-2)}, \quad (n-(n-1))^{(1)}$$

so $\det(L[n]) = \text{product of its eigenvalues} = n^{n-2} \times 1$. □

Consequences

Theorem

$$T(G) = \frac{1}{n} \prod_{i=2}^n \lambda_i(G).$$

Proof.

Exercise. □

Theorem

Every entry of $\text{adj}(L)$ is equal to $T(G)$.

Proof.

Exercise. □

Kirchhoff polynomial

- For every $e \in E$, introduce a variable x_e .
- The **Kirchhoff polynomial** of G is

$$\text{Kir}(G; x) = \sum_{\text{spanning tree } T} \prod_{e \in T} x_e$$

- Note $T(G) = \text{Kir}(G; \mathbb{1})$

Deletion-contraction formula

For $e \in E$, $\text{Kir}(G; x) = x_e \cdot \text{Kir}(G/e; x^e) + \text{Kir}(G \setminus e; x^e)$.

Kirchhoff polynomial

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Deletion-contraction formula

For $e \in E$, $\text{Kir}(G; x) = x_e \cdot \text{Kir}(G/e; x^e) + \text{Kir}(G \setminus e; x^e)$.

x^e is the vector obtained from x after dropping coordinate e .

Proof.

Exercise. □

Weighted Matrix-Tree Theorem

Define $L(G, x)$ to be the $V \times V$ matrix s.t.

- for $u \in V$: the (u, u) -entry is $\sum(x_e : e \in E \text{ is incident with } u)$,
- for distinct $u, v \in V$: the (u, v) -entry is $-\sum(x_e : e \in E \text{ has ends } u, v)$.

Note: $L(G, \mathbb{1}) = L$ the Laplacian

Weighted Matrix-Tree Theorem

Define $L(G, x)$ to be the $V \times V$ matrix s.t.

- for $u \in V$: the (u, u) -entry is $\sum(x_e : e \in E \text{ is incident with } u)$,
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The Weighted Matrix-Tree Theorem

$\text{Kir}(G; x) = \det(L')$ where L' is any $(n-1) \times (n-1)$ principal submatrix of $L(G, x)$.

Proof.

Exercise. □

Laplacian of weighted graph

Define $w \in \mathbb{R}^E$ such that $w \geq \mathbf{0}$.

Definition

The **Laplacian matrix** of the weighted graph (G, w) is $L_w := L(G, w)$.

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Proposition

- 1 $L_w = \sum_{e=\{u,v\} \in E} w_e \cdot (e_u - e_v)(e_u - e_v)^\top$,
- 2 for each $x \in \mathbb{R}^V$, $x^\top L_w x = \sum_{e=\{u,v\} \in E} w_e (x_u - x_v)^2$, ≥ 0 b/c $w \geq 0$

Laplacian of weighted graph

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- 2 for each $x \in \mathbb{R}^V$, $x^\top L_w x = \sum_{e=\{u,v\} \in E} w_e (x_u - x_v)^2$,
- 3 L_w is PSD,
- 4 $L_w \mathbf{1} = \mathbf{0}$, and 0 is the least eigenvalue of L_w ,
- 5 if $w > \mathbf{0}$, then $\text{rank}(L_w) = n - c$, where c is the number of connected components of G .

Proof.

Exercise. □

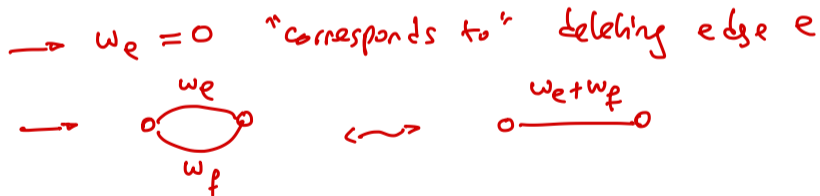
Laplacian of weighted graph

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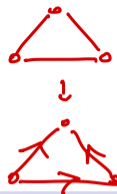
Given a weighted graph (G, w) , we may assume G is simple and $w > \mathbf{0}$.



The cycle and cut spaces

The incidence matrix of an orientation

- Let $G = (V, E)$ be a **connected** graph with n vertices and m edges.
- Denote by $\vec{G} = (V, \vec{E})$ an arbitrary orientation of G .



Recall

The **incidence matrix** of \vec{G} is the $V \times \vec{E}$ matrix B where column $(v, u) \in \vec{E}$ is equal to $e_u - e_v$.

Recall

The Laplacian matrix of G is BB^T .

$$B = \begin{array}{c} \begin{array}{|c|} \hline \text{---} \quad 1 \quad \text{---} \\ \hline \text{---} \quad -1 \quad \text{---} \\ \hline \end{array} \\ \begin{array}{l} u \\ v \end{array} \end{array} \quad (v, u)$$



Cycle and cut spaces

Cycle space

$$W^\diamond = \{f \in \mathbb{R}^{\vec{E}} : Bf = \mathbf{0}\} \quad \text{kernel of } B$$

Cut space

$$W^\star = \{B^T \pi : \pi \in \mathbb{R}^V\} \quad \text{row space of } B$$

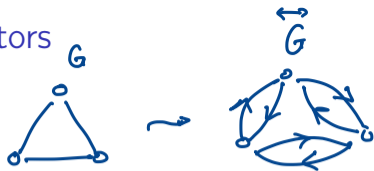
Remark

- 1 $W^\star = (W^\diamond)^\perp$ and $W^\diamond = (W^\star)^\perp$
- 2 $\mathbb{R}^{\vec{E}} = W^\diamond \oplus W^\star$, that is, $\mathbb{R}^{\vec{E}}$ is decomposed into two orthogonal subspaces.

We shall count the dimensions of W^\diamond , W^\star , and provide bases for each.

The bidirection and signed characteristic vectors

- Denote by $\overleftrightarrow{G} = (V, \overleftrightarrow{E})$ the bidirection of G .

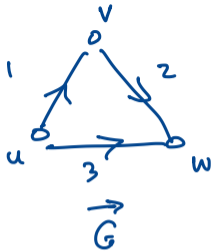


- For each $e \in \overleftrightarrow{E}$, the **signed characteristic vector** of e is the vector $\chi^e \in \mathbb{R}^{\overleftrightarrow{E}}$ defined as

$$(\chi^e)_a = \begin{cases} 1 & \text{if } a = e, \\ -1 & \text{if } a \text{ is the reverse of } e, \\ 0 & \text{otherwise.} \end{cases}$$

- For any $F \subseteq \overleftrightarrow{E}$, define

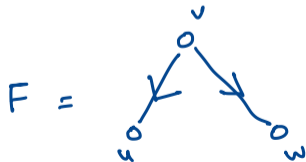
$$\chi(F) := \sum_{e \in F} \chi^e.$$



$$: \chi^e = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \in \mathbb{R}^3$$



$$: \chi^e = \begin{pmatrix} -1 \\ 0 \\ 0 \end{pmatrix} \in \mathbb{R}^3$$



$$\chi(F) = \begin{pmatrix} -1 \\ 0 \\ 0 \end{pmatrix} + \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$$

Directed cycles

Lemma

Let $C \subseteq \vec{E}$ be a directed cycle of \vec{G} . Then $\chi(C) \in W^\circ$.

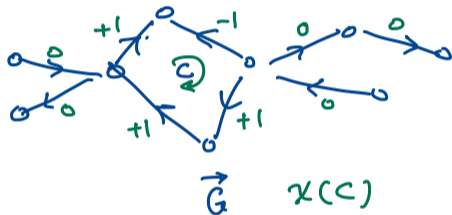
We need to show

$$B \chi(C) = \mathbf{0}.$$

Consider row $v \in V$:

$$(B \chi(C))_v = \begin{cases} 1 - 1 \\ 0 \end{cases}$$

$= 0$



if v is incident with two edges of C

o/w

Directed cycles

Lemma

Let $C \subseteq \vec{E}$ be a directed cycle of \vec{G} . Then $\chi(C) \in W^\diamond$.

Corollary

$W^\diamond \supseteq \text{span}\{\chi(C) : C \text{ is a directed cycle of } \vec{G}\}$.

We'll see that $=$ holds here.

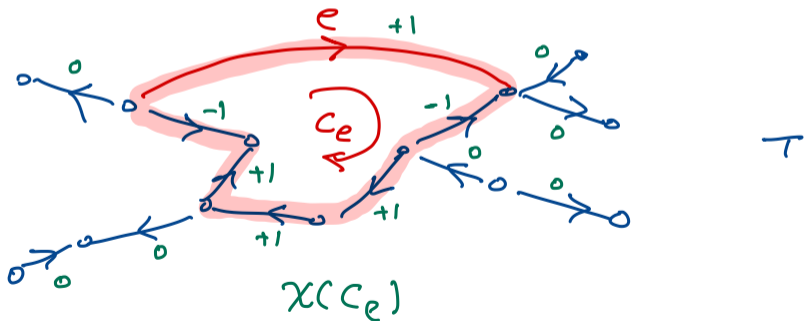
Fundamental cycles

Let $T \subseteq \vec{E}$ be a spanning tree. Let $e \in \vec{E} \setminus T$.

Fundamental cycle

The **fundamental cycle associated with e** w.r.t T is the unique cycle in $T \cup \{e\}$.

Think of this as a directed cycle $C_e \subseteq \vec{E}$ where e appears in the forward direction.



Fundamental cycles

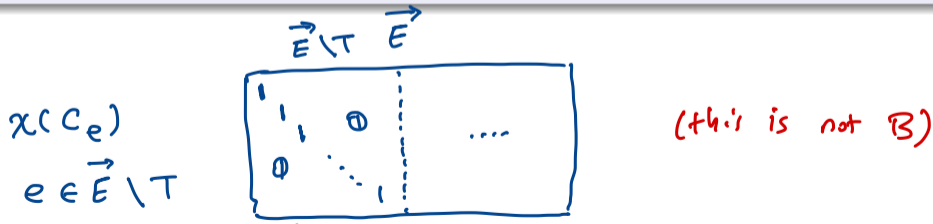
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$\{\chi(C_e) : e \in \vec{E} \setminus T\}$ are linearly independent in W^\diamond .



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$\{\chi(C_e) : e \in \vec{E} \setminus T\}$ are linearly independent in W^\diamond .

Corollary

$\dim(W^\diamond) \geq m - n + 1$.

(note $|\vec{E} \setminus T| = m - n + 1$)

We'll show $\{\chi(C_e) : e \in \vec{E} \setminus T\}$ is a basis for W^\diamond , and $\dim(W^\diamond) = m - n + 1$

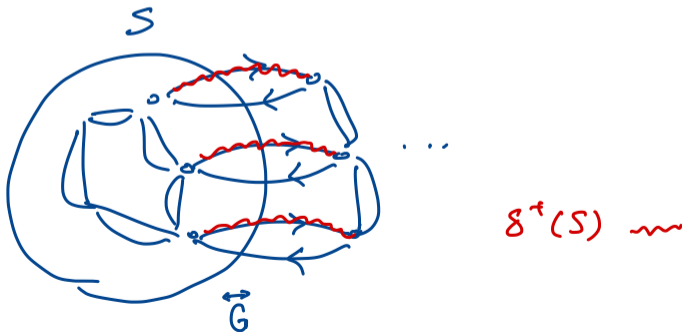
Cuts

Let S be a nonempty proper subset of V .

Definition

The **cut** of \vec{G} associated with S is

$$\delta^+(S) := \{(u, v) \in \vec{E} : u \in S, v \notin S\}.$$



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$\chi(\delta^+(S)) \in W^*$.

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Remark

The row of B corresponding to node v is precisely $-\chi(\delta^+(v))$.

Lemma

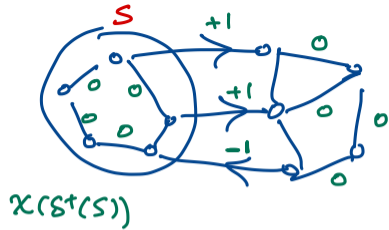
$\chi(\delta^+(S)) \in W^*$.

$$\chi(\delta^+(S)) = \sum_{v \in S} \chi(\delta^+(v))$$

$$= \sum_{v \in S} -(\text{row } v \text{ of } B)$$

\in row space of B

$$= W^*$$



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The **cut of \vec{G}** associated with S is

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Lemma

$\chi(\delta^+(S)) \in W^*$.

Corollary

$W^* \supseteq \text{span}\{\chi(\delta^+(S)) : S \subseteq V, S \neq \emptyset, V\}$

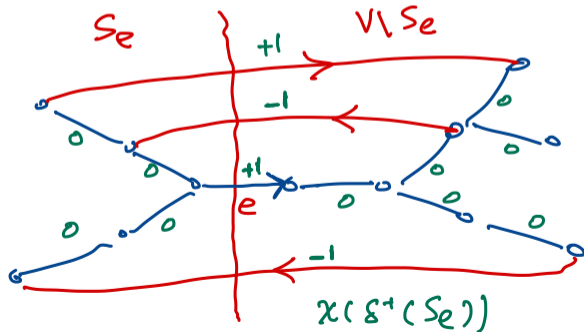
We'll see that $=$ holds here.

Fundamental cuts

Let $T \subseteq \vec{E}$ be a spanning tree. Let $e \in T$.

Fundamental cut

The **fundamental cut associated with e** w.r.t T is the unique cut intersecting T at exactly $\{e\}$. Think of this as a cut $\delta^+(S_e) \subseteq \vec{E}$ where $S_e, V \setminus S_e$ are the two connected components of $T \setminus e$, where S_e contains the tail of e .



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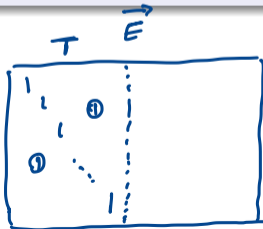
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Lemma

$\{\chi(\delta^+(S_e)) : e \in T\}$ are linearly independent in W^* .

$\chi(\delta^+(S_e)) :$
 $e \in T$



(this is not \mathcal{B})

Fundamental cuts

Let $T \subseteq \vec{E}$ be a spanning tree. Let $e \in T$.

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Lemma

$\{\chi(\delta^+(S_e)) : e \in T\}$ are linearly independent in W^* .

Corollary

$\dim(W^*) \geq n - 1$.

Cycle and cut spaces

We have shown $\dim(W^\diamond) \geq m - n + 1$ and $\dim(W^*) \geq n - 1$.

Recall

$$\mathbb{R}^{\vec{E}} = W^\diamond \oplus W^*.$$

$$\therefore \dim(W^\diamond) + \dim(W^*) = m$$

Cycle and cut spaces

Theorem

$$\dim(W^\diamond) = m - n + 1 \text{ and } \dim(W^*) = n - 1.$$

Cycle and cut spaces

Theorem

$$\dim(W^\diamond) = m - n + 1 \text{ and } \dim(W^*) = n - 1.$$

Consequence for the cycle space

- 1 $\{\chi(C_e) : e \in \vec{E} \setminus T\}$ is a **basis** for W^\diamond .
- 2 $W^\diamond = \text{span}\{\chi(C) : C \text{ is a directed cycle of } \vec{G}\}$.

Cycle and cut spaces

Theorem

$$\dim(W^\diamond) = m - n + 1 \text{ and } \dim(W^*) = n - 1.$$

Consequence for the cycle space

$$W^\diamond = \text{kernel of } B$$

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Consequence for the cut space

$$W^* = \text{row space of } B$$

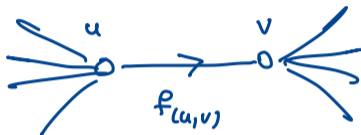
- 1 $\{\chi(\delta^+(S_e)) : e \in T\}$ is a **basis** for W^* .
- 2 $W^* = \text{span}\{\chi(\delta^+(S)) : S \subseteq V, S \neq \emptyset, V\}$.
- 3 $W^* = \text{span}\{\chi(\delta^+(r)) : r \in V\}$.
- 4 $\{\chi(\delta^+(r)) : r \in V \setminus t\}$ is a **basis** for W^* , for any $t \in V$.

$$\text{row } v \text{ of } B = \chi(\delta^+(v))$$

∇ , grad, and ∇grad

The linear operator ∇

Let $f \in \mathbb{R}^{\vec{E}}$. Think of f as a **flow** on \vec{G} where $f_{(u,v)}$ is the amount of flow going **from u to v** .

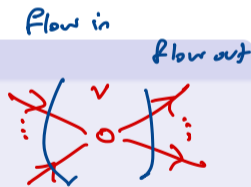


$$B = v \begin{pmatrix} \overbrace{1 \dots 1}^{\text{entering}} & \overbrace{-1 \dots -1}^{\text{leaving}} \end{pmatrix}$$

Net flow

For each $v \in V$, define

$$\nabla f_v := (\nabla f)_v := \sum_{(u,v) \in \vec{E}} \overbrace{f_{(u,v)}}^{\text{flow in}} - \sum_{(v,w) \in \vec{E}} \overbrace{f_{(v,w)}}^{\text{flow out}}$$



$\nabla : \mathbb{R}^{\vec{E}} \rightarrow \mathbb{R}^V$ is a linear operator represented by the matrix B . That is, for each $f \in \mathbb{R}^{\vec{E}}$, the image ∇f is the matrix product Bf .

Demand vectors

Question

Given a demand vector $b \in \mathbb{R}^V$, does there exist a flow $f \in \mathbb{R}^{\vec{E}}$ s.t. $b = \nabla f$?

Exercise

If $b = \nabla f$ for some flow f , then $\sum_{v \in V} b_v = 0$.

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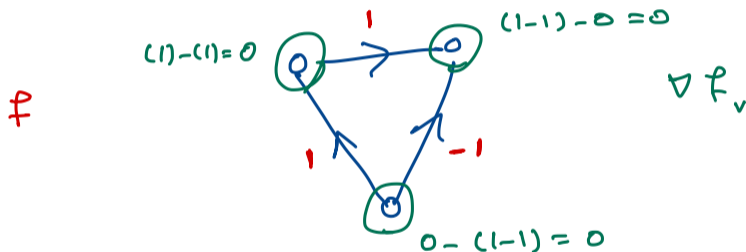
Exercise

Give a characterization of all demand vectors b such that $b = \nabla f$ for some flow f .

Circulations

Definition

A **circulation** of \vec{G} is a flow $f \in \mathbb{R}^{\vec{E}}$ s.t. $\nabla f = \mathbf{0}$.



Remark

W^\diamond is precisely the set of all circulations of \vec{G} .

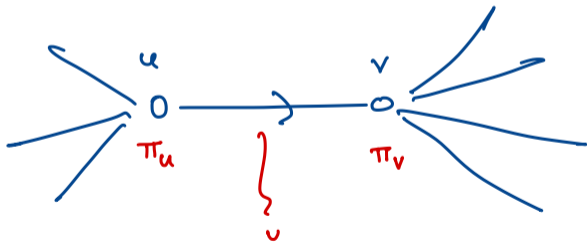
$$W^\diamond = \{f : Bf = \mathbf{0}\} = \{f : \nabla f = \mathbf{0}\}.$$

The linear operator grad

Definition (gradient)

Let $\text{grad} : \mathbb{R}^V \rightarrow \mathbb{R}^{\vec{E}}$ be the operator defined as follows: for each $\pi \in \mathbb{R}^V$,

$$(\text{grad } \pi)_{(u,v)} = \pi_v - \pi_u$$



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$$B = \begin{matrix} & v & (u,v) \\ \begin{matrix} u \\ \end{matrix} & \begin{pmatrix} -1 & 1 \\ 1 & -1 \end{pmatrix} \end{matrix}$$

The linear operator grad

grad is a linear operator represented by the matrix B^\top . That is, for each $\pi \in \mathbb{R}^V$, the image $\text{grad } \pi$ is the matrix product $B^\top \pi$.

Range of grad

$$\text{range}(\text{grad}) = \{B^\top \pi : \pi \in \mathbb{R}^V\} = W^*$$

The Laplacian as a differential operator

Summary

- 1 ∇ is represented by B .
- 2 grad is represented by B^\top .

The Laplacian as a differential operator

Summary

- 1 ∇ is represented by B .
- 2 grad is represented by B^T .

Lemma

∇ and grad are adjoint operators: $\langle \nabla f, \pi \rangle = \langle f, \text{grad } \pi \rangle$.

$$\begin{aligned}\langle \nabla f, \pi \rangle &= \langle Bf, \pi \rangle = \langle f, B^T \pi \rangle \\ &= \langle f, \text{grad } \pi \rangle\end{aligned}$$

The Laplacian as a differential operator

Summary

- 1 ∇ is represented by B .
- 2 grad is represented by B^T .

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∇ and grad are adjoint operators: $\langle \nabla f, \pi \rangle = \langle f, \text{grad } \pi \rangle$.

Lemma

$\nabla \text{grad} : \mathbb{R}^V \rightarrow \mathbb{R}^V$ is a linear operator represented by the Laplacian matrix L of G .

$$(\nabla \text{grad})\pi = B B^T \pi = L \pi$$